TTO NO. \_\_\_\_\_\_\_\_\_\_ *TTO Use Only* ACCT. NAME \_\_\_\_\_\_\_\_\_\_\_\_

INNOVATION DISCLOSURE FORM – UW INVENTORS\*

###### Wyoming Technology Transfer Office

***This Innovation Disclosure Form has been revised recently. Please read the instructions on Page 5 prior to completing the Invention Disclosure Form.***

**1. TITLE OF INNOVATION:**

**2. DESCRIPTION OF INNOVATION:**

**3. STAGE OF DEVELOPMENT:** Has the invention actually been used or is it operational?

YES NO If yes, please provide the date of first use/operation

Please circle the stage of development of the Invention:

Level 1: Basic research observations

Level 2: Feasibility – technology concept formulated

Level 3: Proof of concept

Level 4: Technology validation

Level 5: Initial prototype development or demonstration

Level 6: Working product

**4. POTENTIAL APPLICATIONS:**

**5.** **COMMERCIALIZATION/COLLABORATION OPPORTUNITIES**:

**6. CONTRIBUTORS(S) – Please complete the Contributor Information Form for each individual.**

List of individuals who were involved in the development of the innovation.

**A.**

**B.**

**C.**

**D.**

**7. SPONSORSHIP:**

Was this invention developed with the use of any research, grant, or contract funds, including those from federal, state, industry, or other entities?

YES NO

**Sponsor 1: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Grant/Contract No. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Term: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Sponsor 2: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Grant/Contract No. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Term: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Sponsor 3: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Grant/Contract No. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Term: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Sponsor 4: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Grant/Contract No. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Term: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Any additional Sponsors? YES NO**

**8. PENDING SPONSORSHIP**:

Is this invention the subject of a currently pending grant or contract proposal?

YES NO

Details:

**9. INVENTION BACKGROUND**:

**10. PUBLICATION(S)**:

To your knowledge, has the invention been publicly disclosed (published paper, public presentation, thesis, poster session, etc.).

YES NO NOT SURE

|  |  |  |
| --- | --- | --- |
|  | **DATE(S)**  (Please verify that your dates are accurate as prior disclosure may affect the possibility of securing intellectual property rights.) | **REFERENCES / COMMENTS**  (Please include the names of any periodical, journal, or scientific publication(s) or place of public disclosure. Also include any informative or clarifying comments – add an additional sheet, if necessary) |
| ***A. First written publication of the invention.***  (Include any written disclosures of the invention; please attach copies.) |  |  |
| ***B. Public oral disclosure(s) or demonstration of invention.***  (Include oral disclosures of invention or public use by self or another; please attach a written description of the content of the disclosure) |  |  |
| ***C. Have you been approached by any companies expressing interest in the invention?*** |  |  |
| ***D. Has the invention already been sold or offered for sale?***  YES NO |  |  |
| E. Plans to publish or disclose invention.  ***(If invention is unpublished or undisclosed, include the anticipated publication or oral disclosure date and any submissions made for potential publication.)*** |  |  |

**11. PROPRIETARY MATERIALS/FACILITIES:**

Please list any materials (materials, cell lines, data, etc.) or facilities used in the creation of the Technology that were a) obtained from outside of resources under your direct control and that were not purchased, or b) obtained from a group within UW.

Material: Source:

Material: Source:

Material: Source:

(Please add additional pages, if necessary.)

***Submit To: Technology Transfer Office, University of Wyoming, 1938 Harney Street, Suite 115, Laramie, Wyoming 82072***

**CONTRIBUTOR INFORMATION FORM**

**Attach one page for each contributor named on page one.**

Contributor’s Name:

Last First MI Degree

U.S. Citizen (circle)? Yes No For Non-U.S. Citizen, Citizen of

Department: Division:

Campus Phone #: Campus FAX #:

E-mail Address:

Campus Mail Station:

Position Title (circle): Professor Instructor Resident

Assoc. Professor Fellow Staff

Asst. Professor Student Other:

Other Appointment (circle):

% of time employed at UW: \_\_\_\_\_\_\_\_\_\_\_\_\_% Other employment (circle one)? Yes No

(If yes, name of employer: \_\_\_\_\_\_\_\_\_\_\_\_\_)

Home Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

City State ZIP

Home Phone #: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**employees of the University of Wyoming understand they are subject to the University’s policies, including, but not Limited to, the University’s Intellectual Property and Conflict of Interest Policies. THE UNIVERSITY OF WYOMING, ITS EMPLOYEES, TRUSTEES, OFFICERS, STUDENTS, INTERNS AND CONSULTANTS EXTEND NO WARRANTIES AND ACCEPT NO LIABILITY BY PROVIDING INTELLECTUAL PROPERTY SERVICES TO INVENTORS.  THE UNIVERSITY PRESERVES ITS CONTRACTUAL SOVEREIGN IMMUNITY.**

If a patent application for the intellectual property disclosed above is filed in the U.S. Patent and Trademark Office, the inventor(s) will be required to execute an oath or declaration affirming, among other things, that he/she/they is/are the original and first inventor(s) of the subject matter claimed in the application. ("Original" means derived from any source or persons other than the person or persons named as the inventorship entity.) I/We declare that the subject matter disclosed herein is original to me/us and that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true.

Contributor’s Signature:

Typed/Printed Name: Date:

THE FOLLOWING INSTRUCTIONS APPLY TO THE CORRESPONDING NUMBERED SECTIONS ON THE UW INNOVATION DISCLOSURE FORM

1. **TITLE OF INNOVATION:**

Please provide a descriptive title for the Innovation

**2. DESCRIPTION OF INNOVATION:**

Describe the technology providing information covering the following points:

1. the general purpose;
2. a technical description (images, figures, in-depth descriptions should be appended);
3. how does this technology differ from existing technologies;
4. the advantages and improvements over the existing methods, devices or materials
5. **STAGE OF DEVELOPMENT:**

Please indicate the stage of development of the innovation using the scale provided

**4. POTENTIAL APPLICATIONS:**

List potential commercial applications for invention. What products or services could be developed using the invention?

**5.** **COMMERCIALIZATION/COLLABORATION OPPORTUNITIES**:

Please provide a list of companies that you believe may be interested in commercializing this invention (provide name, contact, and phone number if possible), and major suppliers for products & services that could or will compete with this innovation.

**6. CONTRIBUTORS(S)**

List all of the individuals who were involved in the development of the innovation. ***Contributor Information Form non University of Wyoming individuals do not need to be completed.***

**7. SPONSORSHIP:**

Please provide a list of all sources of funding – federal, state, local, internal, industry, or other and any associated grant/award numbers.

**8. PENDING SPONSORSHIP**:

Please list any sources of funding – federal, state, local, internal, industry, or other that may be used to further develop this disclosed Innovation.

**9. INNOVATION BACKGROUND**:

Provide the background of when and how the Innovation being disclosed was first documented – this includes dates, lab notebooks, or any other documentations.

**10. PUBLICATION(S)**:

Provide details of any existing or pending disclosure of the innovation (either internally at the University or externally to others). This includes published articles, manuscripts submitted for publications, existing or pending abstracts, poster sessions, presentations, or discussions.

**11. PROPRIETARY MATERIALS/FACILITIES:**

List any materials (biological, chemical, or other, including software) that were sourced from a third party and used in the creation of or development of the Innovation being disclosed.